



LICENSED  
BROKER APPLICATION





# Corporate Details

## 1. Company Name

## 2. Advisor Details

Salutation:

Forename(s):

Surname:

Position:

Email:

Tel:

## 3. Corporate Address - please provide proof of address

Corporate Address:

PostCode:

## 4. Photo Identification – Please provide passport number or driver's license number along with a photo copy



**5. Provide details of a Resume or CV including licensure - Please provide a copy of your securities license**

Company	Position	Dates
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**6. Are you currently under investigation for or have you ever been convicted of a criminal offence (other than a motoring offence) ?**

No:  Yes:

If yes please supply details below in the Notes section

**7. Are you prohibited from dealing in securities or public markets in any jurisdiction?**

No:  Yes:

If yes please supply details below in the Notes section



## Notes

## Declaration

I here by declare that the information provided in this document is correct as at the time of signing this document.

Director's Name

Director's Signature

Date